



PATENT  
STL920000096US1  
0055.0041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
T. Lee et al.	)	
Serial No.: 09/918,204	)	
Filed: July 30, 2001	)	Art Unit: 2161
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR	)	
TRANSFERRING DATA FROM	)	
AN APPLICATION ENGINE	)	

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Assistant Commissioner of Patents  
Washington, D.C. 20231

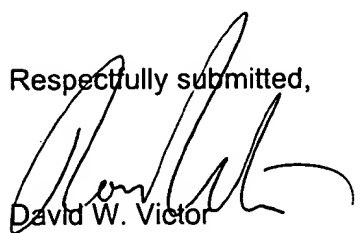
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,



David W. Victor

Dated: December 13, 2001

Reg. No. 39,867

KONRAD RAYNES VICTOR & MANN, LLP

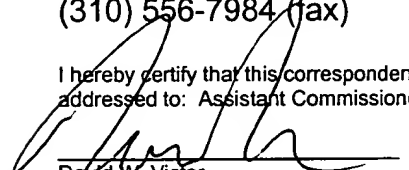
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David W. Victor

12/13/01  
(Date)

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATIONApplicant  
T. Lee et al.Filing Date  
July 30, 2001Group Art Unit  
2152

DEC 26 2001 (Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
	5	3	0	1	3	2	0	04/05/94	McAtee et al.			
	5	5	3	5	3	2	2	07/09/96	Hecht			
	5	5	7	2	6	7	3	11/05/96	Shurts			
	5	5	9	6	7	4	4	01/21/97	Dao et al.			
	5	6	3	4	0	5	3	05/27/97	Noble et al.			
	5	6	3	4	1	2	7	05/27/97	Cloud et al.			
	5	7	2	1	9	1	2	02/24/98	Stepczyk et al.			
	5	7	2	1	9	1	3	02/24/98	Ackroff et al.			
	5	7	3	4	8	3	7	03/31/98	Flores et al.			
	5	7	4	5	6	8	3	04/28/98	Lee et al.			
	5	7	5	2	0	2	7	05/12/98	Familiar			
	5	7	7	4	6	6	1	06/30/98	Chatterjee et al.			
	5	7	9	4	2	5	0	08/11/98	Cariño, Jr. et al.			
	5	8	2	6	2	3	9	10/20/98	Du et al.			
	5	8	6	0	0	6	6	01/12/99	Rouse			
	5	8	6	4	8	4	3	01/26/99	Cariño, Jr. et al.			
	5	8	7	3	0	8	3	02/16/99	Jones et al.			
	5	9	1	3	2	1	4	06/15/99	Madnick et al.			
	5	9	2	6	6	3	6	07/20/99	Lam et al.			
	5	9	3	0	5	1	2	07/27/99	Boden et al.			
	5	9	3	7	3	8	8	08/10/99	Davis et al.			
	5	9	8	7	4	2	2	11/16/99	Buzsaki			
	6	0	4	4	3	7	8	03/28/00	Gladney			
	6	0	6	5	0	0	9	05/16/00	Leymann et al.			
	6	0	7	3	1	0	9	06/06/00	Flores et al.			
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	6	0	1	2	0	6	7	01/04/00	Sarkar			
	6	1	1	5	6	4	6	09/05/00	Fizzman et al.			

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## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
0 0 1 4 6 1 8	16 Mar 00	PCT			FULL

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

DEC 26 2001 (Use several sheets if necessary)

Docket Number  
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Application Number  
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Applicant  
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9	8	2	6	7	5		13 Aug 99	EP			FULL	
9	9	6	3	4	6	9	9 Dec 99	PCT			FULL	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

U.S. Patent Application Serial No.# 09/918,185, filed on July 30, 2001, entitled, "Method, System, and Program for Enabling Access to a Plurality of Services", invented by AT Yaung.

U.S. Patent Application Serial No.# 09/894,074, filed on June 28, 2001, entitled, "Method, System, and Program for Generating a Workflow", invented by WF Miller and AT Yaung.

U.S. Patent Application Serial No.# 09/894,413, filed on June 28, 2001, entitled, "Method, System, and Program for Using Objects in Data Stores During Execution of a Workflow", invented by JJ Lin; WF Miller; and AT Yaung.

U.S. Patent Application Serial No.# 09/918,144, filed on July 30, 2001, entitled, "Method, System, and Program for Maintaining Information in Database Tables and Performing Operations on Data in the Database Tables", invented by T. Lee; JJ Lin; and AT Yaung.

U.S. Patent Application Serial No.# 09/918,143, filed on July 30, 2001, entitled, "Method, System, and Program Workflow Related Operations", invented by T. Lee; JJ Lin; and AT Yaung.

U.S. Patent Application Serial No.# 09/894,076, filed on June 28, 2001, entitled, "Method, System, and Program for Executing a Workflow", invented by AT Yaung.

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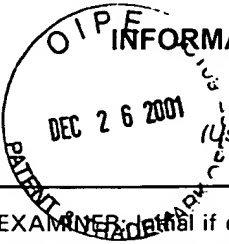
Johansson, SE et al. "Expert Workflow, Building Knowledge-Based Workflow Systems with Object Technology", 1997, pp. 45-49.

Leymann, F. et al. "Workflow-based Applications" [online], Vol. 36, No. 1 - Application Development, pp. 1-22, plus 9 pages of linked Figures (drawings), and 2 pages of "Cited References and Notes". [Retrieved on 17 May 01]. Retrieved from the Internet at <URL: <http://www.research.ibm.com/journal/sj/361/leymann.html>>

Vossen, G. "The WASA2 Object-Oriented Workflow Management System", 1999, ACM # 1-58113-084-8/99/05, pp. 587-589

EXAMINER

DATE CONSIDERED

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	<b>Docket Number</b> STL920000096US1	<b>Application Number</b> 09/918,204
	<b>Applicant</b> T. Lee et al.	
	<b>Filing Date</b> July 30, 2001	<b>Group Art Unit</b> 2152
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.		

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